

Annexure I

Form No. MGT-9
EXTRACT OF ANNUAL RETURN
as on the financial year ended on 31st March, 2019 of
SUBHADRA LOCAL AREA BANK LIMITED
[Pursuant to Section 92(1) of the Companies Act, 2013 and rule 12(1) of the Companies
(Management and Administration) Rules, 2014]

I REGISTRATION AND OTHER DETAILS

i.	CIN	U65191PN2001PLC016042
ii.	Registration Date	10.04.2001
iii.	Name of the Company	SUBHADRA LOCAL AREA BANK LIMITED
iv.	Category / Sub-Category of the Company	Public Limited Company
v.	Address of the Registered office and contact details	Shop Nos. 47 to 56, Ground Floor, Gemstone Building, Near Central Bus Stand, Kolhapur MH 416001 IN Tel No: 0231-2652585
vi.	Whether listed company	No
vii.	Name, Address and Contact details of Registrar and Transfer Agent, if any	Sharex Dynamic (India) Private Limited Unit-1, Luthra Ind Premises, 1st Floor, 44 E, M Vasanti Marg, Andheri Kurla Road, Safeed Pool, Andheri East, Mumbai- 400072. Tel No. 022 28515606

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated: -

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Banking	64191	100%

III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

The Bank does not have any holding, subsidiary and associate companies.

SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)										
A. Equity Shares of PAID UP OF Rs. 10/- each, Carrying Voting Rights										
Category-wise Share Holding										
Category of Shareholders	No. of Shares held at the beginning of the year (01.04.2018)				No. of Shares held at the end of the year (31.03.2019)				% of Total Shares	% Change during the year
	Demat	Physical		Total	Demat	Physical	Total			
A. Promoters										
(1) Indian										
a) Individual/HUF	0	2934380		2934380	13.10	0	0	0	0.00	-13.10
b) Central Govt	0	0		0	0.00	0	0	0	0.00	0.00
c) State Govt (s)	0	0		0	0.00	0	0	0	0.00	0.00
d) Bodies Corp.	0	0		0	0.00	0	0	0	0.00	0.00
e) Banks / FI	0	0		0	0.00	0	0	0	0.00	0.00
f) Other - (i) Directors & Relatives	0	4160200		4160200	18.57	0	0	0	0.00	-18.57
Sub- Total (A) (1):-	0	7094580		7094580	31.67	0	0	0	0.00	-31.67
(2) Foreign										
a) NRIs - Individuals	0	0		0	0	0	0	0	0	0
b) Other – Individuals	0	0		0	0	0	0	0	0	0
c) Bodies Corp.	0	0		0	0	0	0	0	0	0
d) Banks / FI	0	0		0	0	0	0	0	0	0
e) Any Other....	0	0		0	0	0	0	0	0	0
Sub - Total (A) (2):-	0	0		0	0	0	0	0	0	0

Total Shareholding of Promoter (A)=(A)(1)+(A)(2)	0	7094580	7094580	31.67	0	0	0	0.00	-31.67
B. Public Shareholding									
1. Institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks / FI	0	0	0	0	0	0	0	0	0
c) Central Govt	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others	0	0	0	0	0	0	0	0	0
Sub- Total (B)(1) :-	0	0	0	0	0	0	0	0	0
2. Non-Institutions									
a) Bodies Corp.									
i) Indian	0	0	0	0	0	0	0	0	0
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals	0	0	0	0	0	0	0	0	0
i) Individual shareholders holding nominal share capital up to Rs. 1 lakh	0	0	0	0	0	0	0	0	0
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	0	15305820	15305820	68.33	0	22400400	0	100.00	31.67
Sub- Total (B)(2):-	0	15305820	15305820	68.33	0	22400400	0	100.00	31.67

Total Public Shareholding (B)=(B)(1)+(B)(2)	0	15305820	15305820	68.33	0	22400400	0	100.00	31.67	
C) Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	0	22400400	22400400	100.00	0	22400400	0	100	100	

(ii) Shareholding of Promoters

Sr. No	Shareholder's Name	Shareholding at the beginning of the year (As on 01.04.2018)			Shareholding at the end of the year (As on 31.03.2019)			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	
1	Mr. Abhishek Annasaheb Mohite	515000	2.30	0	0	0.00	0	-2.30
2	Mrs. Sitadevi Ramchandra Pandit	100000	0.45	0	0	0.00	0	-0.45
3	Mrs. Soniya alias Sunanda Patil	1340000	5.98	0	0	0.00	0	-5.98
4	Mr. Annasaheb Ramchandra Mohite	1000000	4.46	0	0	0.00	0	-4.46
5	Mr. Rajnikant Annasaheb Patil	630000	2.81	0	0	0.00	0	-2.81
6	Mr. Ramchandra Maruti Mohite	919280	4.10	0	0	0.00	0	-4.10
7	Mr. Sanjay Balaso Patil	2089100	9.33	0	0	0.00	0	-9.33
8	Smt. Sarojini Shrimant Melwanki	100	0.00	0	0	0.00	0	0.00
9	Mr. Shashikant S Mirji i	1000	0.00	0	0	0.00	0	0.00
10	Late Parvati Ramchandra Mohite	500100	2.23	0	0	0.00	0	-2.23
Total		7094580	31.67	0	0	0.00	0	-31.67

(iii) Change in Promoters' Shareholding						
Sr. No.	Shareholders' Name	Shareholding at the beginning of the year		No. of Shares	Cumulative Shareholding during the year	
1	Mr. Abhishek Annasaheb Mohite	515000	2.30	515000.00	515000	2.30
	Sale - 13/05/2018	0	0.00	-515000.00	-515000	-2.30
	Total	515000	2.30		0	0.00
2	Mrs. Sitadevi Ramchandra Pandit	100000	0.45	100000.00	100000	0.45
	Sale - 13/05/2018	0	0.00	-100000.00	-100000	-0.45
	Total	100000	0.45	0.00	0	0.00
3	Mrs. Soniya alias Sunanda Patil	1340000	5.98	1340000.00	1340000	5.98
	Sale - 13/05/2018	0	0.00	-1340000.00	-1340000	-5.98
	Total	1340000	5.98	0.00	0	0.00
4	Mr. Annasaheb Ramchandra Mohite	1000000	4.46	1000000.00	1000000	4.46
	Sale - 13/05/2018	0	0.00	-1000000	-1000000	-4.46
	Total	1000000	4.46	0	0	0.00
5	Mr. Rajnikant Annasaheb Patil	630000	2.81	630000.00	630000	2.81
	Sale - 13/05/2018	0	0.00	-630000	-630000	-2.81
	Total	630000	2.81	0	0	0.00
6	Mr. Ramchandra Maruti Mohite	919280	4.10	919280.00	919280	4.10
	Sale - 13/05/2018	0	0.00	-919280	-919280	-4.10
	Total	919280	4.10	0	0	0.00
7	Mr. Sanjay Balaso Patil	2089100	9.33	2089100.00	2089100	9.33
	Sale - 13/05/2018		0.00	-2089100.00	-2089100	-9.33
	Total	2089100	9.33	0	0	0.00

8	Smt. Sarojini Shrimant Melwanki	100	0.00	100.00	100	0.00
	Sale - 13/05/2018	0	0.00	-100	-100	0.00
	Total	100	0.00	0	0	0.00
9	Mr. Shashikant S Mirji	1000	0.00	1000.00	1000	0.00
	Sale - 13/05/2018	0	0.00	-1000	-1000	0.00
	Total	1000	0.00	0	0	0.00
10	Late Parvati Ramchandra Mohite	500100	2.23	500100.00	500100	2.23
	Sale - 13/05/2018	0	0.00	-500100	-500100	-2.23
	Total	500100	2.23	0	0	
	TOTAL	14189160	61.10		0	0.00

(iv) Shareholding Pattern of top 10 shareholders (other than Directors, promoters and holders of GDRs and ADRs)

Sr. No.	Shareholders' Name	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Mr. Vivek Kothari				
A	At the beginning of the year	1110000	4.96		
B	Purchase - 13/05/2019	1100	0.00		
C	At the End of the year			1111100	4.96
2	Mr. Lekhraj Kanungo				
A	At the beginning of the year	1097760	4.90		
B	Changes during the year	No change during the year			
C	At the End of the year			1097760	4.90
3	Mr. Rahul Shah				
A	At the beginning of the year	1090180	4.87		

B	Changes during the year	No change during the year			
C	At the End of the year			1090180	4.87
4	Mr. Pradeep Rathod				
A	At the beginning of the year	1070000	4.78		
B	Changes during the year	No change during the year			
C	At the End of the year			1070000	4.78
5	Mr. Vinodkumar Bohara				
A	At the beginning of the year	1063700	4.75		
B	Changes during the year	No change during the year			
C	At the End of the year			1063700	4.75
6	Mrs. Sohinidevi Nahar				
A	At the beginning of the year	1050000	4.69		
B	Changes during the year	No change during the year			
C	At the End of the year			1050000	4.69
7	Mr. Ganpatraj Choudhary				
A	At the beginning of the year	1050000	4.69		
B	Changes during the year	No change during the year			
C	At the End of the year			1050000	4.69
8	Mrs. Sukhidevi Haran				
A	At the beginning of the year	1009100	4.50		
B	Changes during the year	No change during the year			
C	At the End of the year			1009100	4.50
9	Mr. Chandu Chah				
A	At the beginning of the year	1000000	4.46		
B	Changes during the year	No change during the year			
C	At the End of the year			1000000	4.46

10	Mr. Ashok Jogani				
A	At the beginning of the year	981360	4.38		
B	Changes during the year	No change during the year			
C	At the End of the year			981360	4.38

11	Mr. Lalith Kumar				
A	At the beginning of the year	0	0.00		
B	Purchase - 13/05/2019			1000000	4.46
C	At the End of the year			1000000	4.46

12	Mrs. Ashoka Agrawal				
A	At the beginning of the year	0	0.00		
B	Purchase - 13/05/2019			1115100	4.98
C	At the End of the year			1115100	4.98

13	Mr. Gaurav Porwal				
A	At the beginning of the year	0	0.00		
B	Changes during the year			1010000	4.51
C	At the End of the year			1010000	4.51

(v)Shareholding of Directors and Key Managerial Personnel					
Sr. No		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	For Each of the Directors and KMP	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
There are no Directors and KMP holding any shares in the Bank during the year.					

IV.SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)
B . Equity Shares of PAID UP OF Rs.2.5/- each, Carrying Voting Rights
I. Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (01.04.2018)				No. of Shares held at the end of the year (31.03.2019)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	0	0	0	0.00	0	0	0	0.00	0.00
b) Central Govt	0	0	0	0.00	0	0	0	0.00	0.00
c) State Govt (s)	0	0	0	0.00	0	0	0	0.00	0.00
d) Bodies Corp.	0	0	0	0.00	0	0	0	0.00	0.00
e) Banks / FI	0	0	0	0.00	0	0	0	0.00	0.00
f) Other - (i) Directors & Relatives	0	0	0	0.00	0	0	0	0.00	0.00
Sub- Total (A) (1):-	0	0	0	0.00	0	0	0	0.00	0.00
(2) Foreign									
a) NRIs - Individuals	0	0	0	0	0	0	0	0	0
b) Other – Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corp.	0	0	0	0	0	0	0	0	0
d) Banks / FI	0	0	0	0	0	0	0	0	0
e) Any Other....	0	0	0	0	0	0	0	0	0
Sub - Total (A) (2) :-	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter (A)=(A)(1)+(A)(2)	0	0	0	0.00	0	0	0	0.00	0.00

B. Public									
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Shareholding									
1. Institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks / FI	0	0	0	0	0	0	0	0	0
c) Central Govt	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others - Trust	0	0	0	0	0	1120000	1120000	100	100
Sub- Total (B)(1) :-	0	0	0	0	0	1120000	1120000	100	100
2. Non-Institutions									
a) Bodies Corp.									
i) Indian	0	0	0	0	0	0	0	0	0
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individual shareholders holding nominal share capital up to Rs. 1 lakh	0	0	0	0	0	0	0	0	0
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	0	1120000	1120000	100.00	0	0	0	0.00	100.00
Sub- Total (B)(2):-	0	1120000	1120000	0.00	0	0	0	0.00	100.00
Total Public Shareholding (B)=(B)(1)+(B)(2)	0	1120000	1120000	0.00	0	1120000	1120000	100.00	100.00
C) Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	0	1120000	1120000	100.00	0	1120000	1120000	100	0

(ii) Shareholding of Promoters - NIL									
Sr. No	Shareholder's Name	Shareholding at the beginning of the year (As on 01.04. 2018)				Shareholding at the end of the year (As on 31.03.2019)			
		No. of Shares	% of total Shares of the company		%of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	% change in shareholding during the year

(iii) Change in Promoters' Shareholding (please specify, if there is no change) - NIL						
Sr. No.	Shareholders' Name	Shareholding at the beginning of the year		No. of Shares	Cumulative Shareholding during the year	

(iv) Shareholding Pattern of top 10 shareholders (other than Directors, promoters and holders of GDRs and ADRs)

Sr. No.	Shareholders' Name	Shareholding at the beginning of the year			Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company		No. of shares	% of total shares of the company
1	Mr. Rajiv Agarwal	1120000	100.00	1120000.00	1120000	100.00
	Sale - 13/05/2018	0	0.00	-1120000.00	-1120000	-100.00
	Total	112000	100.00		0	0.00

2	Rudrapriya Trust	0	0.00	0.00	0	0.00
	Purchase - 13/05/2018	0	0.00	1120000.00	1120000	100.00
	Total	0	0.00		1120000	100.00

(v) Shareholding of Directors and Key Managerial Personnel						
Sr. No		Shareholding at the beginning of the year			Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company		No. of shares	% of total shares of the company
	For Each of the Directors and KMP					

There are no Directors and KMP holding any shares in the Bank during the year.

V.	INDEBTEDNESS			
	Indebtedness of the Company including interest outstanding/accrued but not due for payment-NIL			
VI.	REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL			
A.	Remuneration to Managing Director, Whole-time Directors and/or Manager:			
			(In Rs.)	
Sr No.	Particulars of Remuneration	Mr. Sanjay Agrawal Managing Director (from December 5, 2018 to March 31, 2019)	Total Amount	
1	Gross salary	Rs. 758067/-	Rs. 758067/-	
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	
	(c) Profits in lieu of salary under section 17(3) Income tax Act, 1961	-	-	
2	Stock Option	-	-	
3	Sweat Equity	-	-	
4	Commission	-	-	
	as % of profit	-	-	
	others, specify...	-	-	
5	Others, please specify	-	-	
	Total (A)			
	Ceiling as per the Act			

B.	Remuneration to other directors	Mr. Kishor Mundargi (Independent Director)	Mr. Siddharth Jain (Independent Director)	Mr. Ravikiran Malik (Independent Director)	Mr. Subrata Dasgupta (Independent Director)	Mr. Suhas N Sahakari (Independent Director)	Mr. Pankaj Bhansali (Independent Director)	Mr. Shantanu Ghosh (Professional Director)
	Independent Directors							NA
	• Fee for attending board / committee meetings							NA
	• Commission							NA
	• Others, please specify							NA
	Total (1)							NA
	Other Non-Executive Directors							NA
	• Fee for attending board / committee meetings							NA
	• Commission							NA
	• Others, please specify							NA
	Total (2)							NA
	Total (B)=(1+2)							NA
	Total Managerial Remuneration (A+B)							NA
	Overall Ceiling as per the Act							NA

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD				
				(IN Rupees)
SR No.	Particulars of Remuneration	Mr. Satyanarayan Ramdeo Baheti (Chief Financial Officer) till 03.06.2019	Mr. Vivek Kanwar (Company Secretary) till 10.04.2019	Total
1	Gross salary	Rs. 2275356/-	Rs. 4,57,300/-	Rs. 27,32,656
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	as % of profit	-	-	-
	others, specify...	-	-	-
5	Others, please specify	-	-	-
	Total	Rs. 2275356/-	Rs. 4,57,300/-	Rs. 27,32,656
VII.	PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:			
No penalty or punishment was imposed on the bank or its directors or on any other office in default during the financial year. No compounding was done during the financial year.				

By order of the Board of Directors

Sanjay Agrawal
Managing Director & CEO
DIN: 07696823

Suhas N Sahakari
Independent Director
DIN: 08168414

Place: Mumbai

Date: 5th September 2019